



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/886,868	06/21/2001	J. Richard Aylward	02103-413001

CONFIRMATION NO. 6086

## FORMALITIES LETTER



\*OC000000006426123\*

CHARLES HIEKEN  
Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110-2804

COPY OF PAPERS  
ORIGINALLY FILED

Date Mailed: 08/15/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/12/2002 SSANDARA 00000029 09806068  
01 FC:105  
130.00 DP



COPY OF PAPERS  
ORIGINALLY FILED

Attorney's Docket No.: 02103-413001 / AABOSS37

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : J. Richard Aylward et al.  
Serial No. : 09/886,868  
Filed : June 21, 2001  
Title : AUDIO SIGNAL PROCESSING

Art Unit : 2643  
Examiner : Unknown

**BOX MISSING PARTS**

Hon. Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed August 15, 2001 (copy enclosed), applicant as a large entity submits herewith the following:

- x Check in payment of \$130 surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application;
- x Declaration by the Inventors and Power of Attorney by Assignee and Election of Assignee to Conduct Prosecution in compliance with 37 CFR §1.63; and
- x Other: Postcard to acknowledge receipt of these documents.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

**OCT 15 2001**

Date of Deposit

Signature

*Charles Hicken*

Charles Hicken

Typed or Printed Name of Person Signing Certificate

Applicant : J. Richard Aylward et al.  
Serial No. : 09/886,868  
Filed : June 21, 2001  
Page : 2


Attorney's Docket No.: 02103-413001 / AABOSS37

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050, Order No. 02103-413001.

Respectfully submitted,

FISH & RICHARDSON P.C.

Date: OCT 15 2001

  
\_\_\_\_\_  
Charles Hieken  
Reg. No. 18,411  
Attorneys for Application Owner

Fish & Richardson P.C.  
225 Franklin Street  
Boston, Massachusetts 02110-2804  
Telephone: (617) 542-5070  
Facsimile: (617) 542-8906

20329838.doc